United Nations A/62/890

General Assembly

Distr.: General
25 June 2008

Original: English

Sixty-second session
Agenda items 133 and 140

Human resources management

Administrative and budgetary aspects of the financing of the United Nations peacekeeping operations

Special measures for protection from sexual exploitation and sexual abuse

Report of the Secretary-General

Summary

The present report is submitted in compliance with General Assembly resolution 57/306 of 15 April 2003, in which the Assembly requested the Secretary-General to maintain data on investigations into sexual exploitation and related offences. The report presents data on allegations of sexual exploitation and abuse in the United Nations system for the period from January to December 2007. It also describes progress made in the enforcement of United Nations standards of conduct related to sexual exploitation and abuse.

08-39999 (E) 160708

I. Introduction

1. The General Assembly, in its resolution 57/306, requested the Secretary-General, inter alia, to maintain data on investigations into sexual exploitation and related offences by humanitarian and peacekeeping personnel, and all relevant actions taken thereon. Pursuant to that resolution, the Secretary-General, on 9 October 2003, issued a bulletin on special measures for protection from sexual exploitation and sexual abuse (ST/SGB/2003/13), addressed to all staff of the United Nations, including staff of separately administered organs and programmes. As defined in the bulletin, the term "sexual exploitation" means any actual or attempted abuse of a position of vulnerability, differential power, or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another. The term "sexual abuse" means actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.

2. In response to the above-mentioned request, and in accordance with the Secretary-General's bulletin, the present report provides information on the number and type of allegations of sexual exploitation and abuse reported in 2007. It also sets out the status of investigations into those allegations as at 31 December 2007, and describes the progress made in the enforcement of United Nations standards of conduct related to sexual exploitation and abuse.

II. Reports of sexual exploitation and abuse in 2007

3. Information on allegations of sexual exploitation or sexual abuse reported in 2007 was provided by all but 4 of the 43 United Nations entities from which information was sought. Annex I provides a list of the entities requested to submit information, which includes departments and offices of the United Nations Secretariat as well as United Nations agencies, funds and programmes. New allegations of sexual exploitation and abuse in 2007 were reported by 9 entities, whereas 35 reported receiving no allegations. The number of new allegations reported by all entities totalled 159.

4. The nature of the allegations and the outcome of investigations are detailed in the annexes to the present report. The omission of a reference to a particular entity from the annexes means that no allegations were reported in regard to the personnel of that entity. Since allegations relating to the Department of Peacekeeping Operations involve civilian, military, police and corrections personnel and each category requires different procedures for follow-up, they are presented separately in the annexes.

5. There were 32 allegations of sexual exploitation and abuse involving personnel of United Nations entities other than the Department of Peacekeeping Operations. Annex II presents the number of allegations, by nature of allegation and United Nations entity.

6. Pursuant to resolution 59/287, by which the General Assembly mandated the Office of Internal Oversight Services to conduct investigations into allegations of serious misconduct by staff members and other persons engaged in activities under the authority of the Organization, allegations of sexual exploitation and abuse are conveyed to the Office for investigation. Investigations of such allegations in the

separately administered funds and programmes of the United Nations are conducted by their respective investigative units. Allegations reported to the Office of Internal Oversight Services are recorded and evaluated, and then either prioritized for investigation or closed, as appropriate. Allegations which are prioritized for investigation then undergo a preliminary investigation to determine whether the evidence available warrants further investigation. If it is determined that the evidence available is sufficient to proceed with the case, the case remains open. During this phase, the assigned investigator verifies and ensures that all relevant information has been obtained from the complainant.

7. Annex III presents the status of investigations into allegations of sexual exploitation and abuse involving personnel of United Nations entities other than the Department of Peacekeeping Operations, as at 31 December 2007. The outcome of the completed investigations can be summarized as follows:

(a) One allegation was reported by the United Nations Mission in Nepal, led by the Department of Political Affairs. The case was referred to the Office of Internal Oversight Services for investigation;

(b) Two allegations were reported by the United Nations Integrated Office in Burundi (BINUB). Both allegations were referred to the Office of Internal Oversight Services for investigation;

(c) At the Economic Commission for Latin America and the Caribbean (ECLAC), there was one alleged case which fell under the category of other forms of sexual exploitation and abuse. The case was dealt with at the duty station and the parties were satisfied with the measures taken by the Administration. A report on how the case was handled was sent to the Office of Human Resources Management and the case has been closed;

(d) At the time of preparation of the present report, the Office for the Coordination of Humanitarian Affairs had received an allegation pertaining to one case of possible sexual exploitation and abuse. An assessment is currently being conducted and the results will be submitted to the head of the Office;

(e) The Office of Internal Oversight Services examined two allegations of sexual exploitation and abuse which involved the same individual. The allegations were raised in 2006 and the investigative reports were finalized by the Office in 2007. The cases were forwarded to the Office of Human Resources Management for disciplinary action following the investigation;

(f) The Office of the United Nations High Commissioner for Refugees (UNHCR) reported 19 allegations, of which 9 (8 staff members and 1 related personnel) involved the viewing of pornography on office computer systems. Of the 19 cases, 10 have been investigated and forwarded to the Division of Human Resources Management for disciplinary action, and 1 is still under investigation;

(g) The United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA) reported five allegations, all of which are currently under investigation in accordance with UNRWA procedures;

(h) The United Nations University (UNU) reported five allegations. One case was determined to be substantiated and the staff member was summarily dismissed. Three cases were determined to be unsubstantiated. One case remained under investigation as at 31 December 2007.

8. A total of 127 allegations of sexual exploitation and abuse involving personnel of the Department of Peacekeeping Operations were reported to the Office of Internal Oversight Services in 2007. This number reflects a substantial decrease from the 357 cases reported in 2006. Annex IV provides the number of allegations, by month and by peacekeeping mission. The highest number of allegations reported originated at the United Nations Organization Mission in the Democratic Republic of the Congo (MONUC), where 59 allegations were made. This is down from the 176 cases at MONUC reported to the Office of Internal Oversight Services in 2006.

9. Annex V presents the status of the investigations into allegations of sexual exploitation and abuse involving peacekeeping personnel reported in 2007, as at 31 December 2007. Investigations involving 136 United Nations peacekeeping personnel were completed as at 31 December 2007.[1] The results of the investigations were as follows:

(a) Investigations involving 118 military personnel were conducted. The allegations against 5 personnel were determined to be unsubstantiated, while those against the remaining 113 were determined to be substantiated. The results of those investigations have been communicated to troop-contributing countries by the Department of Peacekeeping Operations and the Department of Field Support. In 2007, the two Departments received feedback on action taken by Member States in respect of the substantiated allegations communicated to them in 2007 and prior years. One Member State reported that criminal proceedings were ongoing following the repatriation of 111 military personnel. In addition, the two Departments received feedback from three Member States on action taken against 21 military personnel, comprising three dismissals from service, six reprimands, seven imprisonments, one reduction in rank and imprisonment, and four dismissals from service and imprisonment. All of the military personnel have been banned from future United Nations peacekeeping operations;

(b) Investigations were conducted in regard to nine civilian personnel. The allegations against three were determined to be unsubstantiated, while those against the remaining six were determined to be substantiated;

(c) Investigations were conducted for nine police and corrections personnel. The allegations against seven were determined to be unsubstantiated, while those against the remaining two were determined to be substantiated. The respective police contributing country has been informed of the results of those investigations.

10. Annex VI presents the nature and number of investigations into allegations of sexual exploitation and abuse involving personnel of the Department of Peacekeeping Operations and the Department of Field Support, by category of personnel.

[1] For the purpose of the present report, the term "investigations" is used to refer to the number of individuals identified in investigation reports. There is therefore no match between the number of allegations received and the number of individuals for whom an investigation has been completed since one investigation report may cover several individuals.

III. Observations

11. The total number of allegations of sexual exploitation and abuse has substantially declined, with only 159 cases reported in 2007 compared with the 371 reported in 2006.

12. There was an increase in the number of allegations relating to personnel from United Nations entities other than the Department of Peacekeeping Operations, with 14 allegations reported in 2006, as opposed to 31 allegations made against personnel of United Nations entities other than the Department of Peacekeeping Operations in 2007. As indicated in paragraph 7 (e) above, 9 of those allegations related to the viewing of pornography in the office. Allegations relating to the viewing or distribution of pornography which do not involve beneficiaries of assistance have not been included in the present report as the actions were determined not to constitute the type of misconduct targeted by the Secretary-General's bulletin on sexual exploitation and abuse. Such acts, however, continue to be treated as misconduct and are subject to disciplinary proceedings.

13. Most allegations, or 127 of the total 159, involved peacekeeping personnel. This figure represents a 64 per cent decrease from the 357 allegations reported in 2006. The decline in reports of allegations of sexual exploitation and abuse, which began in December 2006 and continued throughout 2007, can be partly attributed to preventative measures, such as increased training and awareness-raising activities, taken by the Department of Peacekeeping Operations and the Department of Field Support. Troop and police-contributing countries have also stepped up their predeployment training to include more content on the prevention of sexual exploitation and abuse.[2] It should be noted, however, that reports from other organizations suggest chronic underreporting of allegations of sexual exploitation and abuse, in particular of minors, against United Nations personnel, as well as personnel from the international aid community. In addition, the period during which systematic reporting and specific policies were implemented remains relatively short (from 2005 to 2007) and it is therefore difficult to make a conclusive determination and analysis regarding the decrease in the number of allegations in the reporting period.

14. During the course of 2007, a number of challenges continued to be faced in relation to the recording of allegations of sexual exploitation and abuse by peacekeeping personnel; however, the Department of Peacekeeping Operations and the Department of Field Support, together with the Office of Internal Oversight Services, continued to work on the harmonization of data and terminology.

IV. Strengthening measures for protection from sexual exploitation and sexual abuse

Continued compliance with the Secretary-General's bulletin

15. All 43 entities providing information for the present report confirmed compliance with the standards set out in the Secretary-General's bulletin.

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[2] For more detailed information on the activities of the Department of Peacekeeping Operations/ Department of Field Support in respect of conduct and discipline and the comprehensive strategy to address sexual exploitation and abuse, see A/62/758.

16. The language which requires consultants and individual contractors to abide by the standards of conduct set out in Secretary-General's bulletin ST/SGB/2003/13 was introduced, effective 1 April 2006, to administrative instruction ST/AI/1999/7 on consultants and individual contractors and the accompanying General Conditions of Contracts for the Services of Consultants or Individual Contractors (see ST/AI/1999/7/Amend.1). Executive officers at all duty stations were also instructed to provide new consultants and individual contractors with a copy of the bulletin.

17. Similarly, the United Nations general conditions of contract, applicable to corporate contractors, were first amended in June 2005 and subsequently revised in February 2006 to include an obligation on the part of the contractor to take all appropriate measures to prevent the sexual exploitation or abuse of anyone by the contractor or by any of its employees or any other persons who might be engaged by the contractor to perform any services under the contract.

Task Force on Protection from Sexual Exploitation and Abuse

18. The Task Force on Protection from Sexual Exploitation and Abuse, established jointly by the Executive Committee on humanitarian affairs and the Executive Committee on Peace and Security in 2005, has produced several significant outputs, including convening of a high-level conference on eliminating sexual exploitation and abuse by United Nations and non-governmental organization personnel, a strategy on assistance to victims and an awareness-raising video. Five working groups were formed in September 2007 to advance the work of the Task Force in the following areas: support to field-based networks; enforcement; managerial compliance; victim assistance; and implementation guidance for Secretary-General's bulletin ST/SGB/2003/13.

19. The first such working group is focusing on developing and strengthening field-based networks on sexual exploitation and abuse, while providing the networks with the necessary guidance and support. This will include ensuring familiarity with United Nations reporting and complaint mechanisms (to be developed in part by the second working group), and adapting policies, structures, tools and mechanisms to needs in the field. The second working group aims to focus on developing or enhancing response mechanisms for the field, such as complaint mechanisms, reporting, record-keeping and investigation procedures. The third working group is concentrating on establishing a compliance mechanism at the country level, with a focus on commitments from senior management to implement measures to prevent sexual exploitation and abuse. The fourth working group plans to develop terms of reference and organize training for victim support facilitators, the key element of the strategy for the provision of assistance. This group will also develop and implement a roll-out plan for the strategy, and produce guidance on implementation, identify potential field-level management and funding mechanisms and undertake monitoring and evaluation of the two-year victim assistance pilot programme established by the General Assembly. The fifth working group will be developing a guidance document on the implementation of Secretary-General's bulletin ST/SGB/2003/13.

Department of Field Support conduct and discipline unit and teams

20. Following the establishment of a conduct and discipline team in the Department of Peacekeeping Operations at United Nations Headquarters in November 2005, dedicated personnel were deployed to the field in 2005, 2006 and 2007. Conduct and discipline teams had been deployed at the following

peacekeeping and special political missions as at 31 December 2007: United Nations Assistance Mission in Afghanistan (UNAMA), United Nations Integrated Office in Burundi (BINUB), United Nations Mission in the Central African Republic and Chad (MINURCAT), United Nations Operations in Côte d'Ivoire (UNOCI), United Nations Organization Mission in the Democratic Republic of the Congo (MONUC), United Nations Mission in Ethiopia and Eritrea (UNMEE), United Nations Observer Mission in Georgia (UNOMIG), United Nations Mission in Liberia (UNMIL), United Nations Interim Administration Mission in Kosovo (UNMIK), United Nations Stabilization Mission in Haiti (MINUSTAH), United Nations Military Observer Group in India and Pakistan (UNMOGIP), United Nations Assistance Mission for Iraq (UNAMI), United Nations Interim Force in Lebanon (UNIFIL), United Nations Mission in Nepal (UNMIN), United Nations Mission in the Sudan (UNMIS), United Nations Integrated Office in Sierra Leone (UNIOSIL), African Union-United Nations Hybrid Operation in Darfur (UNAMID), United Nations Office in Timor-Leste (UNMIT) and United Nations Mission for the Referendum in Western Sahara (MINURSO).

21. The Department of Peacekeeping Operations and the Department of Field Support, through the conduct and discipline teams, continue to develop and implement policies and strategies to prevent sexual exploitation and abuse, including awareness-raising activities. As mentioned above, the two Departments have established a uniform code of conduct on sexual exploitation and abuse which has been written into contracts for experts, volunteers, consultants and contractors. The code of conduct is signed by United Nations personnel, including police and military observers, upon arrival in the mission area. In addition, language on sexual exploitation and abuse has been incorporated into the mandates of 11 peacekeeping missions. Also, 11 missions have identified and prohibited peacekeepers from being in off-limit areas, where sexual transactions are known to take place. The website of the Conduct and Discipline Unit,[3] launched in March 2007, contains information on the high-level conference, relevant documents on sexual exploitation and abuse, and space to share best practice and other resources. A film, entitled "To Serve with Pride: Zero Tolerance for Sexual Exploitation and Abuse", was jointly produced by the Department of Field Support and the Department of Peacekeeping Operations, the United Nations Children's Fund, the United Nations Development Programme and the Office for the Coordination of Humanitarian Affairs and launched in December 2006. The film is now available in English, Spanish and French, and copies have been disseminated and used in training at peacekeeping missions and United Nations duty stations.

22. The activities of the conduct and discipline teams (see A/61/957 and A/62/758) continued in 2007 along the lines of the three-pronged comprehensive strategy to address sexual exploitation and abuse. Three standard training modules for the prevention of sexual exploitation and abuse, designed for all levels and categories of personnel, were piloted in workshops in 2006 and early 2007. Module 2, for mid-level managers and commanders, and module 3, for senior leaders, have been approved by senior management at United Nations Headquarters and will be distributed to missions for use or adaptation. In terms of awareness-raising, mission-focused communications campaigns to combat prostitution and transactional sex are under way. Workshops to develop mission-specific strategies were held at UNMIT, MINUSTAH, MONUC, UNMIL, UNOCI and UNMIS between September and December 2007 and the campaigns were to begin in April 2008. The campaigns are

[3] www.un.org/Depts/dpko/CDT/index.html

part of a broader prevention effort to align the behaviour of peacekeeping personnel with United Nations standards of integrity and conduct and to eradicate sexual exploitation and abuse. Funding for the campaigns is provided by donor contributions.

Statement of commitment on sexual exploitation and abuse

23. Since the convening of the high-level conference in December 2006, another five non-United Nations entities have endorsed the statement of commitment on sexual exploitation and abuse.

Policies adopted during the sixty-second session of the General Assembly

24. The amendments on sexual exploitation and abuse to the model memorandum of understanding between the United Nations and troop-contributing countries (A/61/19 part III, annex) were endorsed by the General Assembly in resolution 61/267 B of 24 July 2007. The Department of Field Support uses the amended model memorandum of understanding in its negotiations with potential troop-contributing countries for all new military contingents to peace operations. As of June 2008, 7 new memorandums of understanding have been signed and 12 are under discussion. It is envisaged that the revisions to the model memorandum of understanding will be incorporated into the existing memorandums.

25. The General Assembly, by resolution 62/214 of 21 December 2007, adopted the United Nations Comprehensive Strategy on Assistance and Support to Victims of Sexual Exploitation and Abuse by United Nations Staff and Related Personnel, recommended by the Ad Hoc Open-ended Working Group on Assistance and Support to Victims of Sexual Exploitation and Abuse (see A/62/595). Under the strategy, victims would receive assistance to address needs directly arising from the exploitation or abuse suffered. Assistance may include medical treatment, counselling, social support, legal services or material care. In addition, the strategy recommends that the United Nations work with Member States to facilitate, within their competence, the pursuit of claims related to paternity and child support. The working group on victim assistance (part of the Task Force on Protection from Sexual Exploitation and Abuse) has commenced work on an implementation strategy, which will include a guidance note for field missions.

26. Following the consideration by the Special Committee on Peacekeeping in December 2006 and the Sixth Committee in April and October 2007 of the report of the Group of Legal Experts on ensuring the accountability of United Nations staff and experts on mission with respect to criminal acts committed in peacekeeping operations (A/60/980), the General Assembly, in resolution 62/63 of 6 December 2007, urged States to establish jurisdiction over criminal misconduct by their nationals while serving as United Nations officials or experts on mission. By the same resolution, the General Assembly encouraged Member States to cooperate with each other and with the United Nations in the exchange of information and facilitation of investigations and, as appropriate, the prosecution of the relevant persons. The Sixth Committee gave further consideration to the provisions of the resolution in April 2008.

Other draft policies currently under consideration

27. The report of the Secretary-General on the comprehensive review of the welfare and recreation needs of all categories of peacekeeping personnel (A/62/663), undertaken at the request of the General Assembly, was considered by

the Special Committee on Peacekeeping in March and April 2008. The review identifies both welfare and recreation needs and the measures that the Secretariat proposed to take to address those needs. The Special Committee took note of the report and recognized the importance of welfare and recreation for all categories of peacekeeping personnel, including non-contingent personnel. The Special Committee on Peacekeeping has requested that a further comprehensive report, on welfare and recreation issues in all their aspects, be prepared for consideration by the appropriate committees during the sixty-third session of the General Assembly.

V. Conclusions

28. During the period under review, the United Nations has continued to make crucial progress in establishing a sustainable framework within which to address sexual exploitation and abuse. The creation of conduct and discipline teams within the Department of Peacekeeping Operations and the Department of Field Support has led to focused and coordinated responses to prevention of sexual exploitation and abuse and enforcement of the related standards of conduct. The high-level conference on sexual exploitation and abuse and the work of the Task Force on Protection from Sexual Exploitation and Abuse are important steps towards institutionalizing comprehensive, Organization-wide implementation of measures to prevent abuse, the enforcement of standards of conduct and strategic collaboration with non-governmental partners. Recently approved policies, such as the revised memorandum of understanding, the strategy on assistance to victims, and the General Assembly resolution on criminal accountability, are significant milestones in the advancement of the three-pronged comprehensive strategy to address sexual exploitation and abuse, in conjunction with the United Nations policy of zero tolerance.

29. The number of allegations of sexual exploitation and abuse reported in 2007 shows an overall decline with significant reductions being reported particularly by the Department of Peacekeeping Operations and the Department of Field Support. The increase in the number of cases arising in entities other than peacekeeping is of concern and will be monitored closely. However, at the same time, the increase in reporting of allegations may also be attributed to the increased awareness of the issue by staff and management, as well as within the communities in which the United Nations operates. The Organization recognizes that it will be necessary to continue to improve its reporting mechanisms, improve investigative procedures and strengthen community outreach.

30. The Secretary-General remains committed to changing the organizational culture to deter acts of sexual exploitation and abuse and urges Member States to continue to provide their full support to both assisting the Organization in its efforts and adopting the necessary policies to ensure that the zero-tolerance policy is applied equally to all troop contingents.

31. The General Assembly is requested to take note of the present report.

Annex I

United Nations entities requested to provide information on allegations of sexual exploitation and abuse[a]

- Office of the Secretary-General+
- Office of Internal Oversight Services*
- Office of Legal Affairs+
- Department of Political Affairs*
- Department for Disarmament Affairs
- Department of Peacekeeping Operations*
- Office for the Coordination of Humanitarian Affairs*
- Department of Economic and Social Affairs
- Department for General Assembly and Conference Management
- Department of Public Information
- Department of Safety and Security
- Department of Management
- Peacebuilding Support Office
- Office of the Special Representative of the Secretary-General for Children and Armed Conflict
- United Nations Joint Staff Pension Fund
- Office of the Special Adviser on Africa
- United Nations Conference on Trade and Development
- Office of the High Representative for the Least Developed Countries, Landlocked Developing Countries and Small Island Developing States
- Office of the United Nations High Commissioner for Human Rights
- United Nations Office at Geneva
- United Nations Office at Nairobi
- United Nations Environment Programme
- United Nations Human Settlements Programme (UN-Habitat)
- United Nations Office at Vienna (including United Nations Office on Drugs and Crime)
- Economic Commission for Africa

[a] Entities marked with an asterisk reported that new allegations of sexual exploitation or abuse were received during the period from January to December 2007. The four entities for which statistics were not received prior to the preparation of the present report are indicated by +. All other entities reported that no allegations were received for that period.

- Economic Commission for Europe
- Economic Commission for Latin America and the Caribbean*
- Economic and Social Commission for Asia and the Pacific
- Economic and Social Commission for Western Asia
- Office of the United Nations High Commissioner for Refugees
- United Nations Children's Fund
- United Nations Development Programme*
- United Nations Development Fund for Women
- United Nations Volunteers
- United Nations Population Fund+
- United Nations Office for Project Services
- United Nations Relief and Works Agency for Palestine Refugees in the Near East*
- United Nations University
- Joint United Nations Programme on HIV/AIDS
- International Criminal Tribunal for Rwanda
- International Tribunal for the Former Yugoslavia
- International Trade Centre UNCTAD/WTO
- World Food Programme+

Annex II

Nature of allegations, by United Nations entities other than the Department of Peacekeeping Operations[a]

1 January-31 December 2007

Nature of allegation	*DPA/ UNMIN (staff)*	*BINUB (staff)*	*ECLAC (staff)*	*OCHA (other personnel)*	*OIOS (staff)*	*UNHCR (staff)*	*UNHCR (other personnel)*	*UNRWA (staff)*	*UNU (other personnel)*
Rape[b]									
Sex with minors[c]						8			
Sexual assault[d]								1	
Human trafficking									
Exchange of money, employment, goods or services for sex				1	1			1	
Solicitation of prostitutes	1	1							
Viewing pornography						8	1		
Other forms of sexual exploitation and abuse		1	1		1			3	1
Other violations of ST/SGB/2003/13 (i.e. false reporting of sexual exploitation and abuse)									
Total	**1**	**2**	**1**	**1**	**2**	**16**	**1**	**5**	**1**

Abbreviations: DPA/UNMIN, Department of Political Affairs/United Nations Mission in Nepal; ECLAC, Economic Commission for Latin America and the Caribbean; OCHA, Office for the Coordination of Humanitarian Affairs; OIOS, Office of Internal Oversight Services; UNHCR, Office of the United Nations High Commissioner for Refugees; UNRWA, United Nations Relief and Works Agency for Palestine Refugees in the Near East; UNU, United Nations University.

[a] Entities reporting no allegations are not listed.

[b] In accordance with the security guidelines for women established by the Department of Safety and Security, "rape" is understood to be sexual intercourse achieved without the person's consent.

[c] In accordance with section 3.2(b) of ST/SGB/2003/13, a minor is a person under the age of 18 years.

[d] In accordance with the security guidelines for women, sexual assault is any non-consensual sexual act which is forced by one or more persons upon another.

Annex III

Status of investigations into allegations reported in 2007 involving personnel of United Nations entities other than the Department of Peacekeeping Operations

1 January-31 December 2007

Entity	*Status of investigation as at 31 December 2007*			
	Number of allegations received	*Unsubstantiated or closed*	*Substantiated*	*Investigation ongoing*
Department of Political Affairs				
United Nations Mission in Nepal	**1**	0	0	1
United Nations Integrated Office in Burundi	**2**	2		
Economic Commission for Latin America and the Caribbean	**1**	1	0	0
Office for the Coordination of Humanitarian Affairs	**1**	0	0	1
Office of Internal Oversight Services	**2**	0	2	0
Office of the United Nations High Commissioner for Refugees	**19**	7	11	1
United Nations Relief and Works Agency for Palestine Refugees in the Near East	**5**	4	1	
United Nations University	**1**			1

Annex IV

Number of allegations reported to the Office of Internal Oversight Services in 2007 involving personnel of the Department of Peacekeeping Operations and the Department of Field Support, by peacekeeping mission

Mission	*January*	*February*	*March*	*April*	*May*	*June*	*July*	*August*	*September*	*October*	*November*	*December*	*Total*
MINUSTAH	—	4	1			2	1	2			1	8	19
MINURCAT													0
MINURSO	—	—	—	—	—	1	—	—	—	—		1	2
MONUC	3	4	16	4	5	5	2	4	6	4	4	2	59
UNAMID													0
UNFICYP			—	—	—		—	—	—	—	—		0
UNMEE	—	—	—		—		—	—	—	—	—	—	0
UNMIK		—	—	—	—	—	—	—	—	—	—	1	1
UNIFIL													0
UNMIL	1	1	1	3		2		—	1		3		12
UNMIS	2	2	2		2	1	2		2			1	14
UNOCI		3	2		3	1	1	3			2	—	15
UNOMIG	—	—		—	—	—	—	—	—	—	—	—	0
UNLB	1												1
UNMOGIP	—	—	—	—	—	—	—	—	—	—	—	—	0
UNTSO	—	—	—	—	—	—	—	—	—	—	—	—	0
UNDOF	—	—	—	—	—	—	—	—	—	—	—	—	0
UNMIT	—	—	—	—	—	—	1	2	—	—	—	1	4
Total	**7**	**14**	**22**	**7**	**10**	**12**	**7**	**11**	**9**	**4**	**10**	**14**	**127**

Annex V

Status of investigations conducted by the United Nations into allegations reported in 2007 involving personnel of the Department of Peacekeeping Operations

1 January-31 December 2007

Category of personnel	*Number of completed investigations* (1)	*Number of cases determined to be unsubstantiated* (2)	*Number of cases determined to be substantiated and forwarded to the Office of Human Resources Management for action* (3)	*Number of cases determined to be substantiated and forwarded to Member State for action* (4)
Civilians[a]	9	3	6	N/A
United Nations police and corrections	9	7	—	2
Military[b]	118	5	—	113
Total	**136**	**15**	**6**	**115**

[a] Includes civilian staff members, United Nations Volunteers and contractors.
[b] Includes staff officers, military observers and military contingents.

Annex VI

Nature of allegations in completed investigations, by category of personnel of the Department of Peacekeeping Operations and the Department of Field Support[a]

	Civilian personnel		*Uniformed personnel*		
Nature of allegation	*United Nations staff*	*Other United Nations personnel*	*United Nations police and corrections personnel*	*Military personnel*	*Subtotal*
Sex with minors[b]	0	0	1	1	2
Exploitative sexual relationships[c]	2	6	5	0	13
Sex with prostitutes[d]	0		1	116	117
Sexual assault[e]	0	0	0	0	0
Rape[f]	0	1	2	1	4
Other[g]	0	0	0	0	0
Total	**2**	**7**	**9**	**118**	**136**

[a] The term "investigations" refers to the number of individuals identified in investigation reports.

[b] Includes all acts of sexual exploitation and abuse against minors, including rape and sexual assault but excluding prostitution.

[c] Refers to exchanges of sexual favours for money, food, employment or other goods or services, excluding engaging in prostitution.

[d] Includes investigations of commanders for failure of command, as well as investigations of prostitution with minors.

[e] In accordance with the security guidelines for women developed by the Department of Safety and Security, "sexual assault" is any non-consensual act which is forced by one or more persons upon another.

[f] In accordance with the above-mentioned security guidelines for women, rape is understood to be sexual intercourse achieved without the person's consent.

[g] Includes pornography involving beneficiaries of assistance.